

DISCLOSURE STATEMENT (FINANCIAL ADVISER)

Name and registration number of Financial Adviser:

Wendy Ross – FSP477026

Address:

PO Box 54022, The Marina, Auckland 2144

Trading name:

Netwealth

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Email address:

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It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I can give you advice about Fire and General Insurances.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please contact me so that we can follow my internal complaints process to resolve the problem. To initiate the internal complaints process, you may contact my office by calling (09) 3071421 or by email wendy@netwealth.co.nz

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact the ISO (Insurance & Savings Ombudsman). This service will cost you nothing, and will help us resolve any disagreements.

Address:

PO Box 10-845, Wellington 6143

Telephone number:

04 499 7612

Email address:

www.iombudsman.org.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at www.fspr.govt.nz

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures as described above.

Financial Markets Authority - PO Box 1179, Wellington, 6140. Ph: 0800 434 567. Website: www.fma.govt.nz

Declaration

I, Wendy Ross declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

